



Wicking Dementia Research and Education Centre

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# Effects of Oral Health Screening on Aspiration Pneumonia Risk for Adults with Dementia in Residential Aged Care



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# Vulnerability to Aspiration Pneumonia

Aspiration pneumonia accounts for 80.1%  
of pneumonia-related hospitalisations  
for people >70 years of age

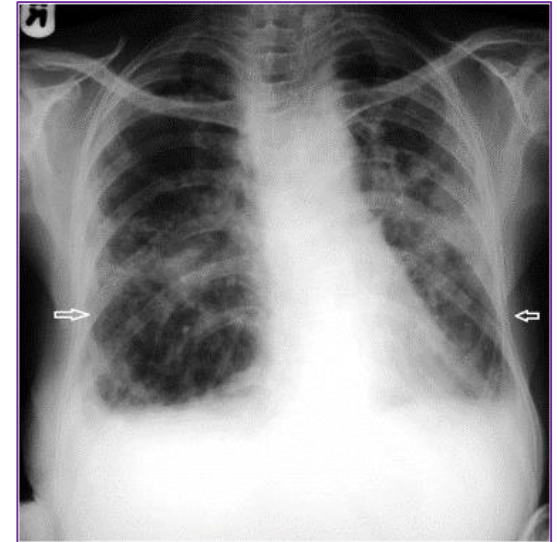
Kenzaka et al. (2018). *Clinical Interventions in Aging*

People with dementia in residential aged care  
are particularly vulnerable

- **Dependency on others for feeding**
- **Dependency on others for oral care**

**This co-related dependency is a strong predictor for aspiration pneumonia**

Langmore et al. (2002). *Dysphagia*



With this dependency, swallowing problems, frailty, and poor oral care

- Pathological oral microorganisms from saliva, tooth decay, and an unclean mouth can migrate into the lungs
- If adults are unable to cough and clear the aspirated material, pneumonia results
- Also weight loss, and increasing illness as immunity to disease decreases

**One potential strategy to prevent this cascade of events is to screen the oral function of adults when they move into residential aged care**

Aged Care Assessment Testing (ACAT) – but information is often out dated  
Residential Aged Care communities

- Have intake procedures to meet Principles of Care and Accreditation standards, including oral and nutritional health, but the focus on oral health may not be strong

**Oral health screening appears a cost effective strategy to identify and address health issues preventatively**

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# Four Screening Measures



## Oral Health Assessment Tool (OHAT)

Fricker & Lewis (2009). Australian Government, Dept. of Health and Ageing

Focus on:

- Lips
- Tongue,
- Gum and oral tissue
- Saliva
- Natural teeth
- Dentures
- Oral cleanliness, and
- Oral pain

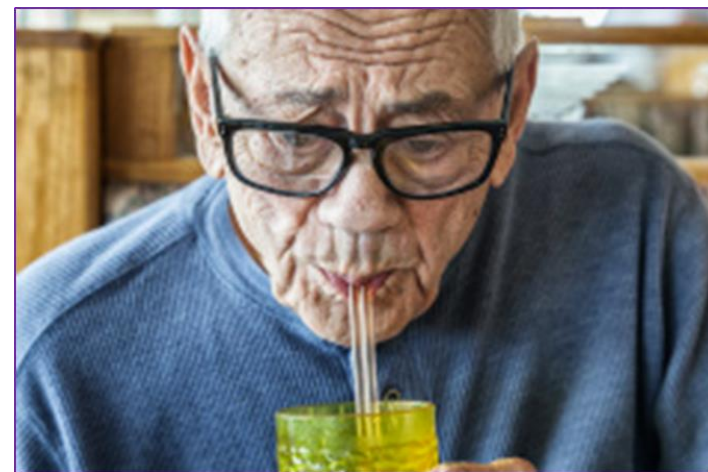
## MNA

- Has food intake declined over the past 3 months?
- Weight loss?
- Mobility?
- Stress or disease?
- Dementia or depression?
- Body Mass Index

Mini Nutritional Assessment	
MNA <sup>®</sup>	
Nestlé Nutrition Institute	
Last name:	First name:
Sex:	Age:
Weight, kg:	Height, cm:
Date:	
Complete the screen by filling in the boxes with the appropriate numbers. Total the numbers for the final screening score.	
Screening	
<b>A</b> Has food intake declined over the past 3 months due to loss of appetite, digestive problems, chewing or swallowing difficulties? 0 = severe decrease in food intake 1 = moderate decrease in food intake 2 = no decrease in food intake	<input type="checkbox"/>
<b>B</b> Weight loss during the last 3 months 0 = weight loss greater than 3 kg (6.6 lbs) 1 = does not know 2 = weight loss between 1 and 3 kg (2.2 and 6.6 lbs) 3 = no weight loss	<input type="checkbox"/>
<b>C</b> Mobility 0 = bed or chair bound 1 = able to get out of bed / chair but does not go out 2 = goes out	<input type="checkbox"/>
<b>D</b> Has suffered psychological stress or acute disease in the past 3 months? 0 = yes    2 = no	<input type="checkbox"/>
<b>E</b> Neuropsychological problems 0 = severe dementia or depression 1 = mild dementia 2 = no psychological problems	<input type="checkbox"/>
<b>F1</b> Body Mass Index (BMI) (weight in kg) / (height in m <sup>2</sup> ) 0 = BMI less than 19 1 = BMI 19 to less than 21 2 = BMI 21 to less than 23 3 = BMI 23 or greater	<input type="checkbox"/>
IF BMI IS NOT AVAILABLE, REPLACE QUESTION F1 WITH QUESTION F2. DO NOT ANSWER QUESTION F2 IF QUESTION F1 IS ALREADY COMPLETED.	
<b>F2</b> Calf circumference (CC) in cm 0 = CC less than 31 3 = CC 31 or greater	<input type="checkbox"/>
<b>Screening score</b> (max. 14 points)	<input type="checkbox"/>
12-14 points: Normal nutritional status 8-11 points: At risk of malnutrition 0-7 points: Malnourished	

## **Able to drink 3oz (90 cc) water from a cup using a straw uninterrupted? Yes/No**

- Pass = oral feeding and thin liquids
- Fail = Is unable to drink the entire amount, including stopping and starting  
Coughs or chokes during or immediately after completion



### Exclusion criteria:

Resident is unable to remain alert for testing or is unable to follow directions

No thin liquids are to be taken due to pre-existing dysphagia (swallowing disorder)

Head-of-bed is restricted to  $< 30^{\circ}$

Tracheotomy tube (a breathing tube inserted in the throat above the collar bones) is present

Doctor has ordered nothing by mouth (nil-per-os) for medical or surgical reasons

Resident has a feeding tube inserted directly into the stomach

By placing a check-mark in one box in each group below, please indicate which statements best describe your own state of health today.

### Mobility

- Levels of perceived problems are coded as follows
- I have no problems in walking about  1  Level = 1
  - I have some problems in walking about  2
  - I am confined to bed  3

### Self-Care

- I have no problems with self-care  1  Level = 1
- I have some problems washing or dressing myself  2
- I am unable to wash or dress myself  3

### Usual Activities (e.g. work, study, housework, family or leisure activities)

- I have no problems with performing my usual activities  1  Level = 1
- I have some problems with performing my usual activities  2
- I am unable to perform my usual activities  3

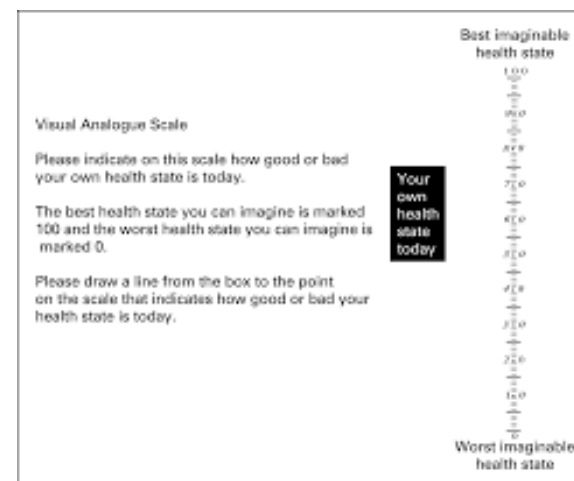
### Pain / Discomfort

- I have no pain or discomfort  1  Level = 2
- I have moderate pain or discomfort  2
- I have extreme pain or discomfort  3

### Anxiety / Depression

- I am not anxious or depressed  1  Level = 3
- I am moderately anxious or depressed  2
- I am extremely anxious or depressed  3

Health state 11123



**Participants:** 142 residents in two aged care communities; majority female

**Mean Age:** 82.4 years

## **Documented from medical files:**

**Diagnosis:** Dementia/cognitive impairment/short term memory loss

### **Prescribed Medications:**

To note:

(a) Number, reason, and need,

(b) Possible association with reported oral and nutritional issues

**Chest and Respiratory Infections** (in the 6 months prior to screening – which had been a reported issue in a previous accreditation report):

Site 1: 19

Site 2: 6

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## OHAT

- 78% warranted referral to a dentist

## MNA

- 57% were at risk for malnourishment
- 13% were actually malnourished

## Yale Swallow Protocol

- 70% failed or refused the swallow protocol, indicating difficulty with, or apprehension about, swallowing thin liquids safely

## EURO-QOL

- Self-reported quality of life ranged from 34-95% (M = 65%).



# Medications



## From 122 resident notes:

- 10% (12) were taking highly anticholinergic medications
    - These slow the GI tract and reduce mouth secretions
  - 41% (50) were taking potent proton pump inhibitors (PPIs)
    - All increase risk of pneumonia, are implicated in hypomagnesemia, and clostridium difficile infections → nausea, loss of appetite, GI problems
  - 16% (20) were taking SSRIs; another 6 were taking cholinesterase inhibitors
    - Both types of medications have gastric irritant properties
  - 17% (22) were taking benzodiazepines
    - Have anticholinergic properties: dry mouth, constipation, drowsiness, cognitive impairment
- 
- 13% (16) were taking antipsychotics

## Implications for staff:

**Value of looking** in a resident's mouth and asking him/her to remove dentures

**Listening** to residents - rich information about health

**Realising** the link between oral and nutritional health – and potential effects of medications

## The value of a screening **team**

- Optimising available staff
- Empowering carers to screen, with guidance
- Establishing an interprofessional plan of action at the beginning of a resident's stay to



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identify and address issues and optimise oral health